

Analysing Drought Intensity in the Mekong River Delta using Time Series Analysis and Google Earth Engine

Tran, T. V.,^{1*} Tran, D. X.,² Huynh, P. D. P.,³ Dao, H. N.,⁴ Vo, T. M.T.,⁵ Trinh, H. P.⁶ and Tran, X. Q.⁷

¹Centre for Climate Change Studies, University of Social Sciences and Humanities, VNU-HCM, HCMC 70000, Vietnam, E-mail: tvthuong@hcmussh.edu.vn

²School of Agriculture and Environment, Massey University, Palmerston North, 4442, New Zealand
E-mail: D.Tran@massey.ac.nz

³Faculty of Geography, Ho Chi Minh City University of Education, HCMC 70000, Vietnam
E-mail: hpdphat@hcmue.edu.vn

⁴Faculty of Geography, Hanoi National University of Education, Hanoi 10000, Vietnam
E-mail: hungdn@hnue.edu.vn

⁵Ho Chi Minh City Institute of Resources Geography, VAST, HCMC 70000, Vietnam
E-mail: vtmtien@hcmig.vast.vn

⁶Faculty of Education for Social Sciences, Saigon University, HCMC 70000, Vietnam
E-mail: hoanhtp.geo@gmail.com

⁷Pedagogical Practice School, Vinh University, Nghe An 460000, Vietnam,
E-mail: tranquang76dhv@gmail.com

*Correspondence Author

Abstract

This study aims to examine variations in drought severity in the Mekong River Delta, Vietnam using the Normalized Difference Water Index (NDWI) derived from the Landsat satellite images. The spatial analysis approach integrated Theil-Sen slope and Mann-Kendall significance tests were applied to time-series NDWI data from 1989 to 2018 and to estimate the spatiotemporal dynamics of drought in the study area. Results showed that drought increased in large area (approximately 13,675.38 square kilometres) and covered almost the Mekong River Delta. However, upward trend was significant observed in coastal areas, particular in Kien Giang and Ca Mau provinces.

1. Introduction

Drought is one of the most crucial natural hazards in the Mekong River Delta (CGIAR and AFS, 2016) as it negatively contributed to agricultural production. Drought monitoring traditionally has been based on weather station observations (Dao et al., 2017, Huynh et al., 2012, Thuong, 2016, Tuan et al., 2017 and Ty et al., 2015) but the lengths and variable date quality of meteorological data are different between individual meteorological stations (AghaKouchak et al., 2015, AghaKouchak and Nakhjiri, 2012 and Easterling, 2013). It breeds a lack of the continuous spatial coverage needed to characterize and monitor the detailed spatial pattern of drought conditions (Gu et al., 2007). Since that time, international collaboration has significantly improved the situation, culminating in analysis (Alexander et al., 2006) that provided a global perspective on drought monitoring. The availability of daily observations is steadily improving and has led to the development of gridded regional (Haylock et al., 2008) and global datasets (Caesar et al., 2006). Established in 2010

(Patel et al., 2015), Google Earth Engine (GEE) is an online portal that brings a wide range of satellite and geospatial data to research community in a more accessible way. It leverages cloud computing services to obtain analysis capabilities on satellite data. The GEE allows access to a large warehouse of satellite imagery and the computational power needed to analyse those images. It, therefore, is as a powerful tool for providing enhanced opportunities for undertaking earth observation studies (Hird et al., 2017 and Patel et al., 2015).

Normalized Difference Water Index (NDWI) is an indicator of soil and vegetation water content (Amalo et al., 2018, Fensholt and Sandholt, 2003 and Palacios-Orueta et al., 2006). It has a quicker response to drought conditions than vegetation indices (Amalo et al., 2018) because the NDWI is influenced by both desiccation and wilting in the vegetation canopy (Gu et al., 2007). Besides, Landsat imagery with 30 m spatial, multispectral resolution and temporal continuity has been commonly used for



drought change analysis (Kumar et al., 2018 and Urban et al., 2018). In this study, the NDWI and Landsat time series obtained from GEE were used to implement drought situation and its dynamics in space and time. The objectives of this study are to (i) determine spatiotemporal changes in the NDWI; (ii) explore the reflectance of the NDWI to drought situation; (iii) investigate satellite-derived methods for measuring and monitoring drought; (iv) characterize the dynamics of agricultural drought intensity. The Mekong River Delta was selected to employ the research because it has been rigorously affected by drought in dry season (CGIAR and AFS, 2016). This information is authentic for planning and designing applications of water resource development schemes, related to various supply and the environment.

2. Material and Methods

2.1 Study Site

The Mekong River Delta (MRD) extends from 8°34'N-10°24'N latitude and from 104°27'E-106°48'E longitude (Figure 1). It is counted as "Vietnam's Rice Bowl" and is the largest rice production region in the country (Clauss et al., 2018). The MRD is one of the pivotal agricultural regions in the Lower Mekong River Basin, which covers about 5% of the area of the Mekong River Basin (MRB). The proportion of rice manufacturing in the MRD was always over 50% in comparison with rest of economic regions in Vietnam (General Statistics Office of Vietnam, 2016). It hence plays a crucial role in contributing the output of agriculture to economic development of Vietnam and an apart of rice production over the world (Dao et al., 2017). However, this figure significantly decreased over year because the potential consequences of global warming and hydropower dams (Thuong, 2016). Particularly, it was fifth position in ten of the largest rice producing countries all over the world in 2016 (Tuan et al., 2017).

2.2 Spectral Index

The Normalized Difference Water Index (NDWI) is developed by Gao (1996) to enhance the water related features of the landscapes. This index uses the near infrared (NIR) and the short wave infrared (SWIR) bands, followed by Equation 1.

$$NDWI = \frac{NIR - SWIR}{NIR + SWIR}$$

Equation 1

The NDWI has been applied to examine drought severity (Amalo et al., 2018 and Gulácsi and Kovács,

2015). In this study, the NDWI derived from Landsat Thematic Mapper (TM), Enhanced Thematic Mapper plus (ETM+) and Operational Land Imager (OLI) data, obtained from Google Earth Engine, were used for analyzing spatiotemporal changes in drought in dry season during the period of 1989 - 2018. The categories of NDWI for drought determination are listed in following Table 1. As shown in Table 1, the NDWI was applied to estimate spatiotemporal situation and trend of drought in the Mekong River Delta.

Table 1: NDWI classification

Category	Description	NDWI
D0	None Dry	0.4 or more
D1	Semi-arid	0.20 to 0.30
D2	Arid	0.00 to 0.20
D3	Hyper-arid	0.00 or less

2.3 Mann-Kendall Test and Theil-Sen Slope Approach

The nonparametric Mann-Kendall (MK) test (Kendall, 1946 and Mann, 1945) has been commonly used to assess the significance of monotonic trends in climatological, meteorological, hydrological, environmental data time series (Kisi and Ay, 2014 and Wang and Myint, 2016). The rank-based nonparametric Mann-Kendall method is adopted to employ trends in the annual series. The choice of this method is based on the fact that it has the advantage of being less sensitive to outliers over the parametric method (Otache et al., 2008). The MK test statistic (S) is calculated in the following equations:

$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \text{sgn}(x_j - x_i)$$

Equation 2

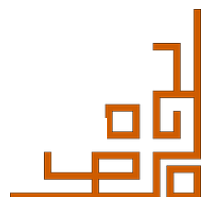
$$\text{sgn}(x_j - x_i) = \begin{cases} +1 & x > 0 \\ 0 & x = 0 \\ -1 & x < 0 \end{cases}$$

Equation 3

where x_i and x_j are the data values at times i and j , and n indicates the length of the dataset. While a positive value of S indicates an increasing trend, negative of S indicates a decreasing trend. The following expression, as an assumption, is used for the series where the data length $n > 10$ and data are approximately normally distributed (variance ($\sigma^2 = 1$) and mean ($l = 0$) value).

$$\text{Var}(S) = \frac{n(n-1)(2n+5)}{18}$$

Equation 4



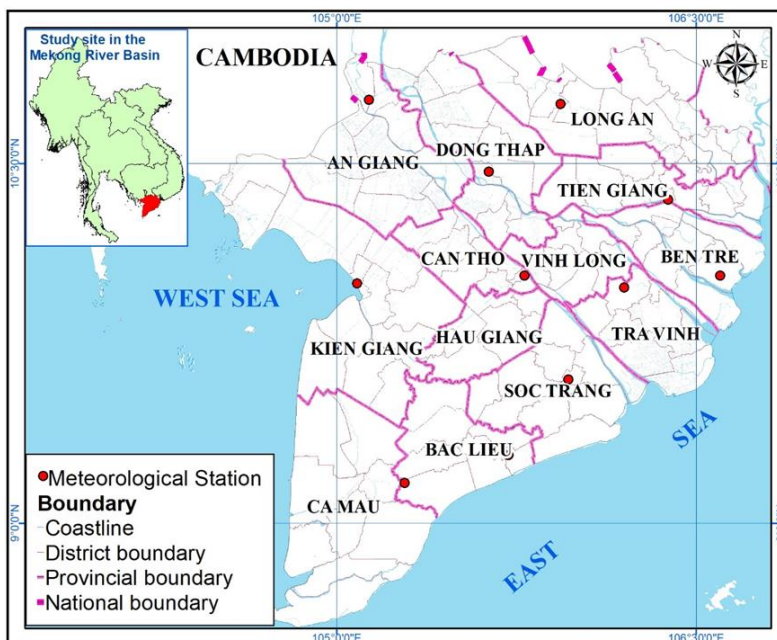


Figure 1: Location of the study area

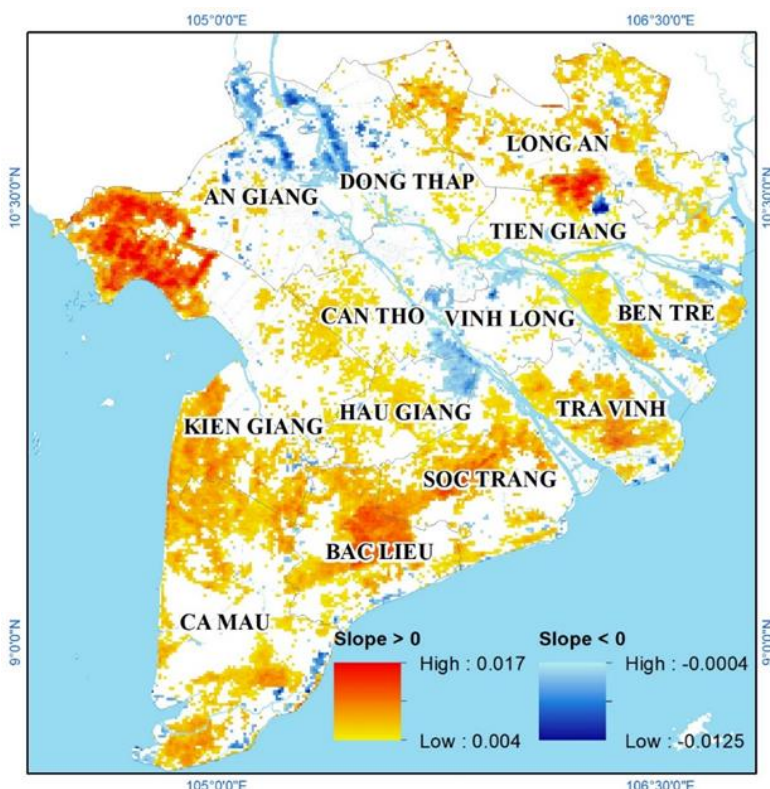


Figure 2: Spatiotemporal trend for NDWI variation in the study area during the 1989–2018 period

After the calculation of the variance of time series data with Equation (4), the standard Z value is calculated according to the following Equation (5).

$$Z = \begin{cases} \frac{s-1}{\sqrt{\text{var}(s)}}, & \text{if } S > 0 \\ 0, & \text{if } S = 0 \\ \frac{s+1}{\sqrt{\text{var}(s)}}, & \text{if } S < 0 \end{cases}$$

Equation 5



The calculated standard Z value is compared with the standard normal distribution table with two-tailed confidence levels ($\alpha = 0.05$). If the calculated Z is greater $|Z| > |Z_{1-\alpha}|$, then the null hypothesis (H_0) is invalid. Therefore, the trend is statistically significant. Otherwise, the H_0 hypothesis is accepted that the trend is not statistically significant, and there is no trend in the time series (trendless time series) (Kisi and Ay, 2014). On the other hand, slope in NDWI time series (change per unit time) is estimated by procedure described by Sen (1968) in case the trend is linear. The method requires a time series of equally spaced data (Shahid, 2011). The magnitude of trend is predicted by the Sen's slope estimator (Q_i). The magnitude of trend is predicted by the Sen's slope estimator (Q_i).

$$(Q_i) = \frac{x_j - x_k}{j - k}, \text{ for } i = 1, 2, \dots, N$$

Equation 6

where x_j and x_k are data values at times j and k ($j > k$) respectively. The median of these N values of Q_i is represented as Sen's estimator. $Q_{med} = \frac{Q(N+1)}{2}$ if N is odd, and $Q_{med} = \frac{\left[\frac{QN}{2}, \frac{Q(N+2)}{2}\right]}{2}$ if N is even. Positive value of Q_i indicates an increasing trend, and negative value of Q_i shows decreasing trend in time series.

3. Results and Discussion

3.1 The Trend of the NDWI Variations

The Figure 2 presents the trend of significant changes in NDWI and the Table 2 shows the area of drought change based on Sen's slope. The value of the factor increased most across the delta with a slope value greater than zero. The downward trend of drought was seen in the upper Hau and Tien River with a decrement of around 477.61 km² and 471.73 km² in Dong Thap and An Giang provinces, respectively. The results of the regression analysis for the annual drought indices are presented in Table 2. Strong positive relationships between measured indices at ground observation and spectral indices were found. All regression models showed high r values that were above 0.7. It proved that the NDWI is correspondence with drought indices measured from ground observation. The NDWI increment expanded

significantly across much larger area (13,675.38 km²) compared to NDWI decrement (2,533.86 km²). The increase NDWI area was mainly concentrated in coastal region, including Kien Giang and Ca Mau with an area of 2,952.74 km² and 2,121.82 km², respectively. These provinces have experienced high development of tourism activates in recent years which led to the mangrove deforestation. Hence, it is notable that the increase in drought area in coastal areas reflected negative human influences (Kantoush et al., 2017 and Le et al., 2007).

3.2 The Spatiotemporal Variations of Drought in the Study Area

The temporal dynamic of drought area from 1989 to 2018 is shown in Figure 3.

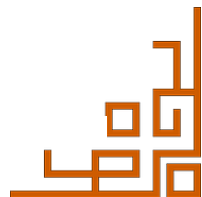
Table 3: Significant changes in drought by province level Unit: km²

Province	Decreased Area	Increased Area
An Giang	471.73	364.08
Bac Lieu	64.59	1,376.05
Ben Tre	192.8	783.94
Ca Mau	182.04	2,121.82
Can Tho	138.98	364.08
Dong Thap	477.61	250.55
Hau Giang	218.25	553.94
Kien Giang	47.96	2,952.74
Long An	93.96	1,619.75
Soc Trang	145.83	1,378.01
Tien Giang	163.44	750.66
Tra Vinh	106.68	1,015.89
Vinh Long	229.99	143.87
Total	2,533.86	13,675.38

In general, the drought area tended to increase during the study period. In addition, it reveals that there was intensity as a sinusoidal path with bottom peaks in 1990, 1993, 1997, 2002, 2007, 2012, 2015, and 2017. Based on this, the study therefore divided the investigation into different periods including 1989-1991, 1991-1993, 1993-1998, 1998 - 2003, 2003-2008, 2008 - 2013, 2013 - 2016, and 2016 - 2018. The spatial pattern of annual drought risk in the study area from 1989 to 2018 is presented in Figure 4. During the study period, annual drought took place in inland and then expanded to the coastal areas.

Table 2: The relationship between NDWI and RDI, SPI measured at the meteorological stations in the study area during the 2001–2018 period

	SPI		RDI	
	<i>r</i> -value	<i>p</i> -value	<i>r</i> -value	<i>p</i> -value
NDWI	0.74	0.010	0.71	0.014



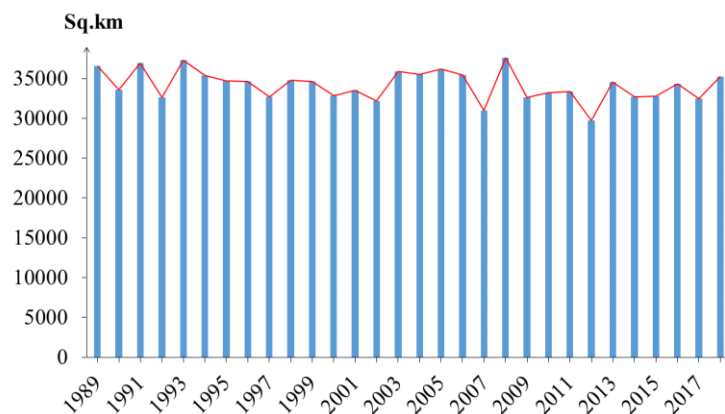


Figure 3: The temporal change in total drought area during the 1989-2018 period

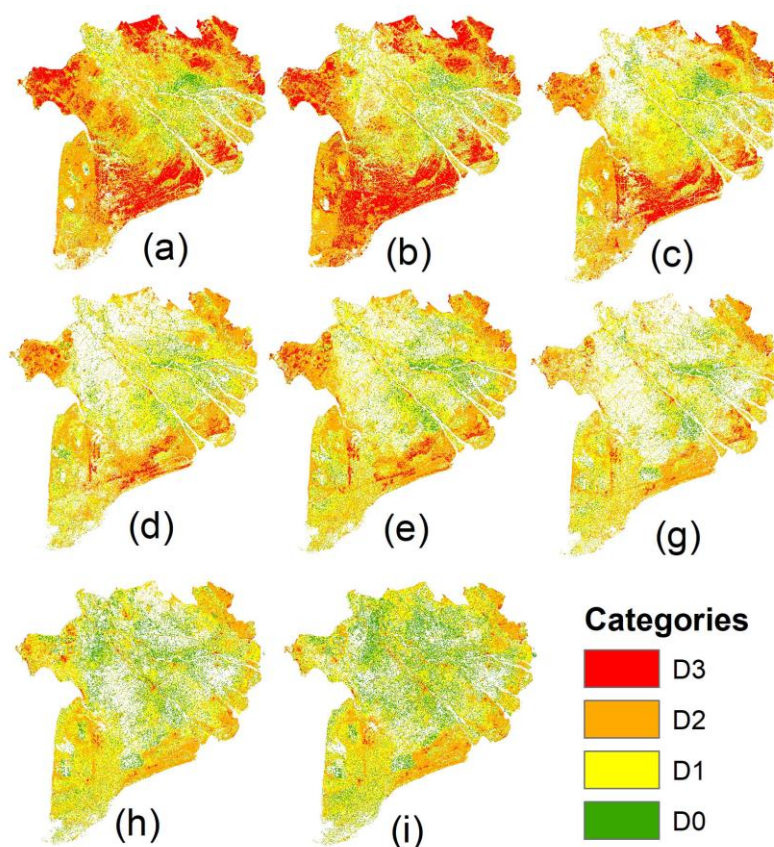


Figure 4: The changes in NDWI categories in the delta each period includes 1989 – 1991 (a); 1991 – 1993 (b); 1993 – 1998 (c); 1998 – 2003 (d); 2003 – 2008 (e); 2008 – 2013 (f); 2013 – 2016 (g); 2016 – 2018 (h); and 2018 – 2019 (i)

The intensity of the drought index developed rapidly from 1989 to 1998, but decreased gradually until the period of 2008 - 2013. From 2013 to 2018, the level of drought became gradually intense, concentrated in coastal areas, especially in Ca Mau and Kien Giang. It is explored that before 1998 the drought area was mainly dependant on natural conditions. Major farming practices were single rice crop in the rainy season and shrimp farming in the dry season (Sâm,

2003 and Ty et al., 2015). Moreover, local farmers have converted into farming systems to adapt natural phenomenon in specific agricultural system from 2013 until the present. It is important to note that the 2016 – 2018 period, a major drought level occurred across almost the coastal area due to the combined impact of upstream dams, climate change, and El Niño (Chopra, 2006 and Kantoush et al., 2017).



4. Conclusions

The study explored the spatiotemporal change of the NDWI and its reflectance to drought severity in the Mekong River Delta using the derived Landsat product, obtained from Google Earth Engine, and spatial analysis approach. Results showed that frequency of drought occurred in a cycle, with a top peak in 2009 and a bottom peak in 2013, and it was more serious in coastal areas. It was clearly demonstrated that the region with the largest areas of serious drought is Kien Giang, Ca Mau, Soc Trang, and Bac Lieu provinces. It is recommended that the government should promulgate appropriate policy and solution, especially focused on these affected areas, to reduce the negative impacts of drought.

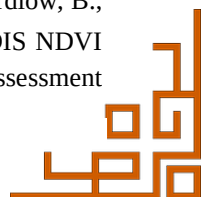
Results from this study are valuable for agricultural land suitability evaluation and agricultural practices. For instance, drought map provides important information for selecting appropriate crop types. Under the impacts of global warming and sea level rise, drought and salinity will be more severe in the future, particularly in the Mekong River Delta. It is no doubt that spatiotemporal of drought at pixel level is pivotal information for agricultural land use planning and management. Besides, Landsat-derived indices obtained from GEE and time-series analysis, are efficient for drought monitoring and assessment in broad scale.

Acknowledgments

The authors thank the Google Earth Engine via Climate Engine apps for the freely accessible Landsat and single band data products. This study was supported by the Ministry of Education and Training (5652/QĐ-BGDĐT), Hanoi National University of Education (B2019 –SPH - 03) in Vietnam.

References

- AghaKouchak, A., Farahmand, A., Melton, F. S., Teixeira, J., Anderson, M. C., Wardlow, B. D. and Hain, C. R., 2015, Remote Sensing of Drought: Progress, Challenges and Opportunities. *Rev. Geophys.*, Vol. 53, 452–480.
- AghaKouchak, A. and Nakhjiri, N., 2012, A Near Real-Time Satellite-Based Global Drought Climate Data Record. *Environ. Res. Lett.*, Vol. 7, 044037.
- Alexander, L. V., Zhang, X., Peterson, T. C., Caesar, J., Gleason, B., Tank, A. K., Haylock, M., Collins, D., Trewin, B. and Rahimzadeh, F., 2006, Global Observed Changes in Daily Climate Extremes of Temperature and Precipitation. *J. Geophys. Res. Atmospheres*, Vol. 111, 1-22, <https://doi.org/10.1029/2005-JD006290>.
- Amalo, L. F., Ma'rufah, U. and Permatasari, P. A., 2018, Monitoring 2015 Drought in West Java using Normalized Difference Water Index (NDWI), in: IOP Conference Series: Earth and Environmental Science. *IOP Conference Series Earth and Environmental Science*, Vol. 149(1) doi: 10.1088/1755-1315/149/1/012007.
- Caesar, J., Alexander, L. and Vose, R., 2006, Large - Scale Changes in Observed Daily Maximum and Minimum Temperatures: Creation and Analysis of a New Gridded Data Set. *Journal of Geophysical Research*, Vol. 111, 1-10, D05101, doi:10.1029/2005JD006280.
- CGIAR, R. P. on C.C., AFS, A. and F. S., 2016, The Drought and Salinity Intrusion in the Mekong River Delta of Vietnam: Assessment Report [WWW Document]. URL <https://ccaafs.cgiar.org/publications/drought-and-salinity-intrusion-mekong-river-delta-vietnam-assessment-report> (accessed 11.7.18).
- Chopra, P., 2006, *Drought Risk Assessment Using Remote Sensing and GIS: A Case Study of Gujarat*. ITC. <https://pdfs.semanticscholar.org/1217/7cd40844788309682048bad302bc88b46760.pdf>.
- Clauss, K., Ottinger, M., Leinenkugel, P. and Kuenzer, C., 2018, Estimating Rice Production in the Mekong Delta, Vietnam, Utilizing Time Series of Sentinel-1 SAR data. *Int. J. Appl. Earth Obs. Geoinformation*, Vol. 73, 574–585.
- Dao, H. N., Tran, T. V. and Nguyen, H. T., 2017, The Spatial Distribution of Drought Index in Dry Season in Tien Giang Province Under Representative Concentration Pathways Scenarios 4.5 and 8.5. *Disaster Adv.*, Vol. 10, 27–33.
- Easterling, D. R., 2013, *Global Data Sets for Analysis of Climate Extremes*, in: *Extremes in a Changing Climate*. Springer, 347–361.
- Fensholt, R. and Sandholt, I., 2003, Derivation of a Shortwave Infrared Water Stress Index from MODIS Near-And Shortwave Infrared Data in a Semiarid Environment. *Remote Sens. Environ.* Vol. 87, 111–121.
- Gao, B., 1996, NDWI-A Normalized Difference Water Index for Remote Sensing of Vegetation Liquid Water from Space. *Remote Sens. Environ.* Vol. 58, 257–266. [https://doi.org/10.1016/S0034-4257\(96\)00067-3](https://doi.org/10.1016/S0034-4257(96)00067-3)
- General Statistics Office of Vietnam, 2016, *Statistical Yearbook*. Statistical Publishing House.
- Gu, Y., Brown, J. F., Verdin, J. P. and Wardlow, B., 2007, A Five - Year Analysis of MODIS NDVI and NDWI for Grassland Drought Assessment



- Over the Central Great Plains of the United States. *Geophysical Research Letters*, Vol. 34, L06407, doi:10.1029/2006GL029127.
- Gulácsi, A. and Kovács, F., 2015, Drought Monitoring with Spectral Indices Calculated from MODIS Satellite Images in Hungary. *J. Environ. Geogr.* Vol. 8, 11–20.
- Haylock, M. ., Hofstra, N., Tank, A. K., Klok, E. J., Jones, P. D. and New, M., 2008, A European Daily High - Resolution Gridded Data Set of Surface Temperature and Precipitation for 1950–2006. *Journal of Geophysical Research*, Vol. 113, D20119, doi:10.1029/2008JD010201.
- Hird, J. N., DeLancey, E. R., McDermid, G. J. and Kariyeva, J., 2017, Google Earth Engine, Open-Access Satellite Data, and Machine Learning in Support of Large-Area Probabilistic Wetland Mapping. *Remote Sens.* Vol. 9, No. 2, 1–27. DOI: 10.3390/rs9121315.
- Huynh, H., Truong Chi, Q. and Dan, T., 2012, Application the MODIS Images to Monitor the Change of Land Surface Temperature and Drought Situation in the Mekong Delta. *Cantho Univ. J. Sci.* 1859–2333 2012, 49–59.
- Kantoush, S., Binh, D. V., Sumi, T. and Trung, L. V., 2017, Impact of Upstream Hydropower Dams and Climate Change on Hydrodynamics of Vietnamese Mekong Delta. *水工学論文集 Annu. J. Hydraul. Eng.* JSCE, Vol. 61, 109–114.
- Kendall, M. G., 1946, *The Advanced Theory of Statistics*. Adv. Theory Stat.
- Kisi, O. and Ay, M., 2014, Comparison of Mann–Kendall and Innovative Trend Method for Water Quality Parameters of the Kizilirmak River, Turkey. *J. Hydrol.*, Vol. 513, 362–375.
- Kumar, N., Singh, S. K. and Pandey, H. K., 2018, Drainage Morphometric Analysis Using Open Access Earth Observation Datasets in a Drought-Affected Part of Bundelkhand, India. *Appl. Geomat.* Vol. 10(2), 1–17.
- Le, T. V. H., Nguyen, H. N., Wolanski, E., Tran, T. C. and Haruyama, S., 2007, The Combined Impact on the Flooding in Vietnam's Mekong River Delta of Local Man-Made Structures, Sea Level Rise, and Dams Upstream in the River Catchment. *Estuar. Coast. Shelf Sci.*, Vol. 71, 110–116.
- Mann, H. B., 1945, Nonparametric Tests against Trend. *Econometrica*, Vol. 13, No. 3, 245–259.
- Otache, M. Y., Bakir, M. and Li, Z., 2008, Analysis of Stochastic Characteristics of the Benue River Flow Process. *Chin. J. Oceanol. Limnol.* Vol. 26, 142–151.
- Palacios-Orueta, A., Khanna, S., Litago, J., Whiting, M. L. and Ustin, S. L., 2006, Assessment of NDVI and NDWI Spectral Indices using MODIS Time Series Analysis and Development of a New Spectral Index Based on MODIS Shortwave Infrared Bands, in: *Proceedings of the 1st International Conference of Remote Sensing and Geoinformation Processing*. Trier, Germany. [http://ubt.opus.hbz-nrw.de/volltexte-/2006/362/pdf/03 ...](http://ubt.opus.hbz-nrw.de/volltexte-/2006/362/pdf/03...), 207–209.
- Patel, N. N., Angiuli, E., Gamba, P., Gaughan, A., Lisini, G., Stevens, F. R., Tatem, A. J. and Trianni, G., 2015, Multitemporal Settlement and Population Mapping from Landsat Using Google Earth Engine. *Int. J. Appl. Earth Obs. Geoinformation*, Vol. 35, 199–208.
- Sâm, L., 2003, *Xâm nhập mặn ở đồng bằng Sông Cửu Long*. NXB Nông nghiệp.
- Sen, P. K., 1968, Estimates of the Regression Coefficient Based on Kendall's tau. *J. Am. Stat. Assoc.* Vol. 63, 1379–1389.
- Shahid, S., 2011, Trends in Extreme Rainfall Events of Bangladesh. *Theor. Appl. Climatol.* Vol. 104, 489–499.
- Thuong, V. T., 2016, The Change in Dry Season of Drought in Tien Giang Province from 1980 to 2015, in: *Proceedings of Conference on Geography in Career of Education and Training, and Development of Vietnamese Socio – Economic*. Hanoi University of Education Publishing House, Hanoi City, Vietnam, 24–33.
- Tuan, V. T., Thuong, V. T., Phu, V. P., Van, D. N., Hung, N. D., Ngot, V. P. and Hoanh, P. T., 2017, The Spatio-Temporal Variation in April of Agricultural Drought in Tien Giang Province, in: *Proceeding of International Conference Application of GIS and Remote Sensing in Geography Research, Environmental Monitoring and Natural Resource Management*. Hanoi University of Education Publishing House, 82–95.
- Ty, V. T., Hoai, T. T. D. and Minh, V. T. H., 2015, Mapping Meteorological Drought in the Mekong Delta under Climate Change. *Cantho Univ. J. Sci.* 1980–2012.
- Urban, M., Berger, C., Mudau, T., Heckel, K., Truckenbrodt, J., Onyango Odipo, V., Smit, I. and Schmullius, C., 2018, Surface Moisture and Vegetation Cover Analysis for Drought Monitoring in the Southern Kruger National Park Using Sentinel-1, Sentinel-2, and Landsat-8. *Remote Sens.* Vol. 10, 1482.
- Wang, C. and Myint, S. W., 2016, Environmental concerns of deforestation in Myanmar 2001–2010. *Remote Sens.*, Vol. 8, 1–15, DOI: 10.3390/rs8090728.

